

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  

---

please do not report the images to the  
Image Problem Mailbox.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/833,397	04/11/2001	Hajime Kimura	07977/273001/US4846	3430

7590

08/14/2002

SCOTT C. HARRIS  
Fish & Richardson, P.C.  
Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

EXAMINER

ZEADE, BERTRAND

ART UNIT

PAPER NUMBER

2875

DATE MAILED: 08/14/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/833,397

Applicant(s)

KIMURA ET AL.

Examiner

Bertrand Zeade

Art Unit

2875

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 April 2001.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-21, 23-29, 31 and 32 is/are rejected.
- 7) ☒ Claim(s) 22 and 30 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

Art Unit: 2875

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1, 3-7, 9-14, 16 are rejected under 35 U.S.C. 102(b) as being anticipated by

Sendova (U.S. 6,132,053).

Sendova ('053) discloses an optimized high efficient large area modular flat panel display lighting device having:

Regarding claim 1, a point light source (21); a light guiding plate (300); and a reflecting member (28) for reflecting a light of the point light source (21), wherein the reflected by the reflecting member (28) is incident on at least two side surfaces of the guiding plate (300).

Regarding claim 3, a prism having a triangular cross-section is provided on upper surface or a lower surface of the light guiding plate (see abstract).

Regarding claim 4, a projection having a rectangular cross-section is provided on an upper surface or the lower surface of the light guiding plate (see 1, 8-9).

Regarding claim 5, a reflecting plate is provided below the light guiding plate (see fig. 1).

Regarding claim 6, a light guiding plate (901-904) having a first side surface and a second side surface perpendicular to the second surface; and a point light source (21) adjacent to an

Art Unit: 2875

intersection of the first side surface and the second side surface (see figs. 3, 9), wherein a light emitted from the point light source (21) is incident on the first side surface and the second side surface of the light guiding plate (see figs. 16).

Regarding claim 7, the point light source (21) is surrounded by a reflecting member (26), the first side surface, and the second side surface (see fig. 1).

Regarding claim 9, prism having a triangular cross-section is provided on upper surface or a lower surface of the light guiding plate (see abstract).

Regarding claim 10, a projection having a rectangular cross-section is provided on an upper surface or the lower surface of the light guiding plate (see 1, 8-9).

Regarding claim 11, a reflecting plate (28) is provided below the light guiding plate (see fig.1).

Regarding claim 12, a light guiding plate having a first side surface (28), a second side surface (12), and a third side surface (26); wherein the first side surface is not perpendicular to the second side surface and the third side surface; and a point light source (21), wherein a light emitted from the point light source (21) is incident on the first side surface of the light guiding plate and exits through an upper surface or a lower surface of the light guiding plate (11), (see fig.1).

Regarding claim 13, the point light source (21) is surrounded with a first reflecting plate and the first side surface (see fig. 1).

Art Unit: 2875

Regarding claim 14, a reflecting plate is provided so as to surround side surfaces and a lower surface of the light guiding plate (11), (see fig. 1).

Regarding claim 16, a projection having a rectangular cross-section is provided on an upper surface or the lower surface of the light guiding plate (see 1, 8-9).

3. Claims 17-18, 21-29, 31-32 are rejected under 35 U.S.C. 102(b) as being anticipated by Maegawa et al. (U.S.4,954,930).

Maegawa ('930) discloses illumination light guide having:

Regarding claim 17, a first light guiding plate (4) having a first side surface and a second side surface perpendicular to the first side surface; a second light guiding plate (2a) having a first side surface, an upper surface, and lower surface, the upper surface and the lower surface being perpendicular to the first surface (see figs. 1-5 ); and a point light source (9), wherein the light emitted from the point light source (9) is incident on a first surface of the first light guiding plate (4) and exit through a second side surface of the first light guiding plate (4), and wherein the light exiting through the second side surface of the first light guiding plate (4) is incident on the first side surface of the second light guiding plate (2a), and exit through the upper surface or the lower surface of the second light guiding plate (2a).

Regarding claim 18, the first light guiding plate (4) has a shape of rectangular prism (see fig. 1-5).

Art Unit: 2875

Regarding claim 21, a first light guiding plate (4) having a first side surface and a second side surface perpendicular to the first side surface; a second light guiding plate (2a) having a first side surface, an upper surface, and a lower surface, the upper surface and the lower surface being perpendicular to the first surface; and a point light source (9), wherein the light emitted from the point light source (9) is incident on a first surface of the first light guiding plate (4) and exit through a second side surface of the first light guiding plate (4), and wherein the light exiting through the second side surface of the first light guiding plate is incident on the first side surface of the second light guiding plate (2a), and exit through the upper surface or the lower surface of the second light guiding plate (2a).

Regarding claim 22, the refraction index of the first light guiding plate (4)

Regarding claim 23, a liquid crystal panel or illuminating portion (2a or 2b or 2c) including a first substrate, a second substrate, and interposed therebetween (see figs. 1-5); an illumination apparatus (2a-2d) adjacent to the liquid crystal panel or illuminating portion (2c) for illuminating an image display plane of the liquid crystal panel (see fig. 1-5), the illumination apparatus including: a first light guiding plate (4) having a first side surface and a second side surface perpendicular to the first side surface; a second light guiding plate (2a) having a first side surface, an upper surface, and lower surface, the upper surface and the lower surface being perpendicular to the first surface (see figs. 1-5); and a point light source (9), wherein the light emitted from the point light source (9) is incident on a first surface of the first light guiding plate (4) and exit through a second side surface of the first light guiding plate (4), and wherein the light

Art Unit: 2875

exiting through the second side surface of the first light guiding plate (4) is incident on the first side surface of the second light guiding plate (2a), and exit through the upper surface or the lower surface of the second light guiding plate (2a).

Regarding claim 24, the first light guiding plate (4) has a shape of rectangular prism (see fig. 1-5).

Regarding claim 25, the ink dots or pixel electrodes (13) are provided at a lower density as closer towards the point light source (9).

Regarding claim 26, the ink dots or pixel electrodes (13) are provided at a lower density as closer towards the point light source (9).

Regarding claim 27, the liquid crystal display or illumination portion (2a or 2d) device is a transmission type LCD device.

Regarding claim 28, the LCD device or illumination portion (2a or 2d) is incorporated in one selected from the group consisting of a personal computer, digital camera, a mobile telephone, a video camera, and a car navigation system (col. 1, line 1-22).

Regarding claim 29, a liquid crystal panel or illumination portion (2a or 2d) including a first substrate, a second substrate, and interposed therebetween (see figs. 1-5); an illumination apparatus (2a-2d) adjacent to the liquid crystal panel or illumination portion (2a or b) for illuminating an image display plane of the liquid crystal panel (see fig. 1-5), the illumination apparatus including: a first light guiding plate (4) having a first side surface and a second side surface perpendicular to the first side surface; a second light guiding plate (2a) having a first side



Art Unit: 2875

surface, an upper surface, and lower surface, the upper surface and the lower surface being perpendicular to the first surface (see figs. 1-5); wherein the first light guiding plate (4) has a larger refractive index than the second light guide plate (2a); and a point light source (9), wherein the light emitted from the point light source (9) is incident on a first surface of the first light guiding plate (4) and exit through a second side surface of the first light guiding plate (4), and wherein the light exiting through the second side surface of the first light guiding plate (5a) is incident on the first side surface of the second light guiding plate (2a), and exit through the upper surface or the lower surface of the second light guiding plate (2a).

Regarding claim 31, the liquid crystal display device or illumination portion (2a or 2c) is a transmission type LCD device.

Regarding claim 32, the LCD device or illumination portion (2a or 2d) is incorporated in one selected from the group consisting of a personal computer, digital camera, a mobile telephone, a video camera, and a car navigation system (col. 1, line 1-22).

Art Unit: 2875

*Claim Rejections - 35 USC § 103*

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 2, 8, 19-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maegawa ('930) in view of Hoshi (U.S.6,020,944).

Regarding claims 2, 8, 19-20, Maegawa ('930) discloses the claimed invention except for the ink dot.

Hoshi ('944) discloses an illumination device and LCD apparatus including same having:

Regarding claims 2, 8, 19 and 20, an ink dot or pixel (13) is provided on an upper surface or a lower surface of the light guiding plate (fig. 4).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the illumination light guide of Maegawa ('930) with the ink dot disclosed by Hoshi ('944) in order to provide an illumination device having a reflection-type LCD is expected to realize a high resolution display apparatus including a large number of pixels, because of a high aperture ratio allowing a high-density pixel arrangement.

Art Unit: 2875

*Allowable Subject Matter*

6. Claims 22 and 30 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

7. The following is a statement of reasons for the indication of allowable subject matter: The prior art of record neither disclose nor teach a refractive index of a light guide plate which is between 1.8 and 3.0; 1.4 and 1.6.

*Contact Information*

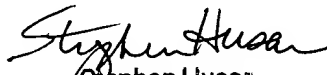
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bertrand Zeade whose telephone number is 703-308-6084. The examiner can normally be reached on Monday-Friday from 8:00 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea, can be reached on (703) 305-4939. The fax phone number for the organization where this application or proceeding is assigned is 703-3432.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

Examiner: Bertrand Zeade

August 9, 2002.

  
Stephen Husar  
Primary Examiner